

ATTORNEY DOCKET NO. 046601-5105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
Keishi SHIMIZU et al.)	.39 TO
Application No.: Unassigned) Group Art Unit: Unassigned	7.0.8 /0877
Filed: March 5, 2002) Examiner: Unassigned	Jces 10 10 10 10 10 10 10 10 10 10 10 10 10
For: LIGHT WAVEGUIDE FORMING METHOD LIGHT WAVEGUIDE FORMING APPARA		

Washington, D.C. 20231

Commissioner for Patents

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application and before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of each listed document is attached. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

The following are listed on the accompanying PTO-1449 and are in a language other than English.

- a. <u>Japanese Published Unexamined Patent Application No. 10-119414</u>. The relevance of this document is discussed at page 3 of the specification of the present application.
- b. <u>Japanese Published Unexamined Patent Application No. 11-189899</u>. The relevance of this document is discussed at page 3 of the specification of the present application.

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c. Japanese Published Unexamined Patent Application No. 11-105418. The relevance of

this document is discussed at page 3 of the specification of the present application.

d. Japanese Published Unexamined Patent Application No. 11-174790. The relevance of

this document is discussed at page 3 of the specification of the present application.

e. Japanese Published Unexamined Patent Application No. 11-133224. The relevance of

this document is discussed at page 3 of the specification of the present application.

f. Japanese Published Unexamined Patent Application No. 11-335894. The relevance of

this document is discussed at page 3 of the specification of the present application.

This submission does not represent that a search has been made or that no better art exists

and does not constitute an admission that the listed documents are material or constitute "prior

art." If it should be determined that the listed documents do not constitute "prior art" under

United States law, Applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of such documents. Applicants further reserve the right to take

appropriate action to establish the patentability of the disclosed invention over the listed

documents, should the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the

fee to our Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Robert J. Goodell

Reg. No. 41,040

Date: March 5, 2002

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

PTO Form 1449

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Attorney Docket No. 046601-5105	Serial No. Unassigned	10/0
Applicants Keishi SHIMIZU et al.	•	J.
Filing Date March 5, 2002	Group Unassigned	
March 5, 2002	Unassigned	

U.S. PATENT DOCUMENTS

*Examiner Initial	Document	Data	N.		Sub	
IIIIIai	Number	Date	Name	Class	Class	Filing Date
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	FOREIGN PATE	NT DOCUMENTS				
Document Number	Date	Country	Class	Sub Class	Trans	slation NO
10-119414	May 12, 1998	Japan				ΤX
11-189899	Jul. 13, 1999	Japan			1	X
11-105418	Apr. 20, 1999	Japan			1	X
11-174790	Jul. 2, 1999	Japan				X
 11-133224	May 21, 1999	Japan	***	<u> </u>		×
11-335894	Dec. 7, 1999	Japan				X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

Examiner	Date Considered
Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through Citation if not in conformance and not considered. Include copy of this form with next comunication to applicant.